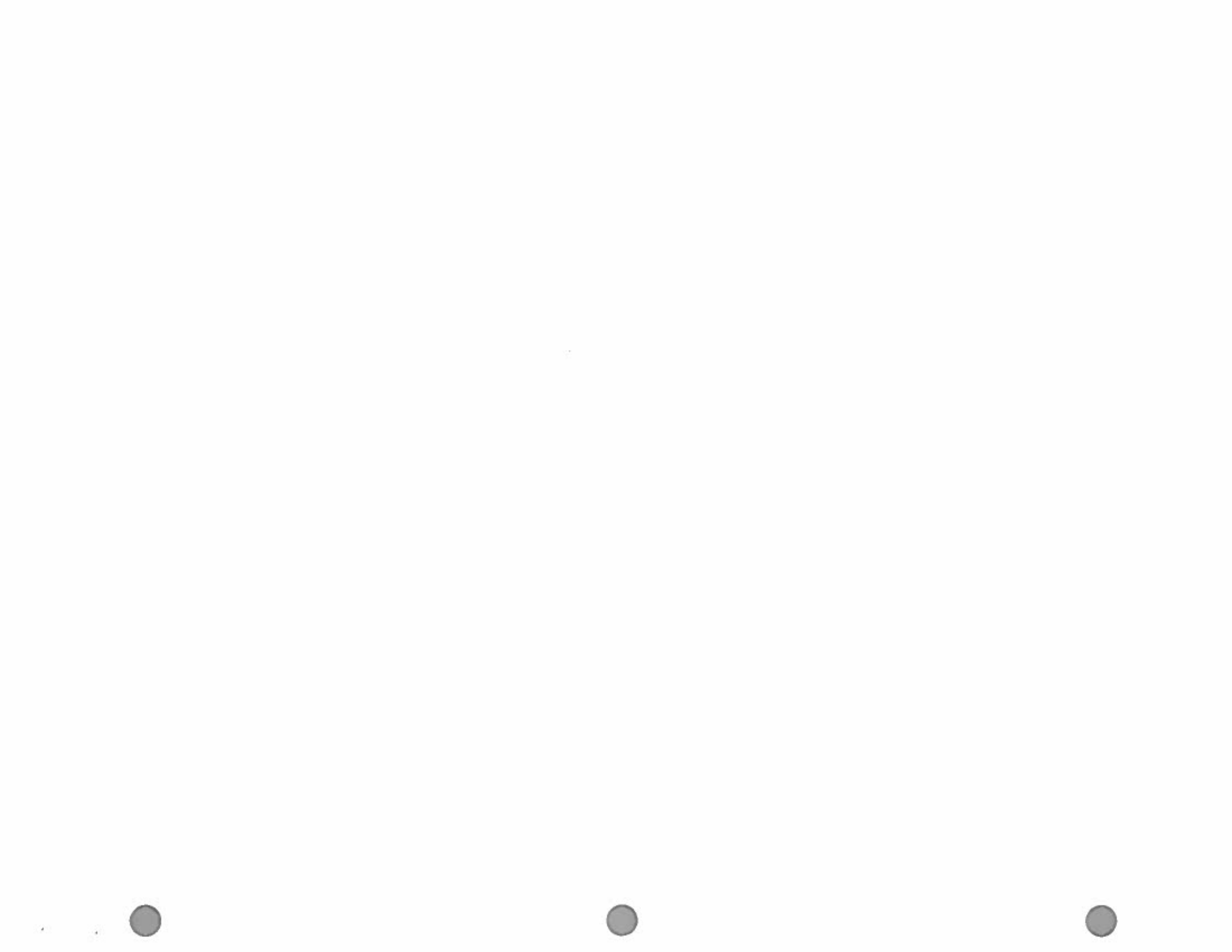


MEDIATOR REPORT OF COMPLIANCE WITH REMEDIAL GUIDELINES **DECEMBER 2017 IP ASSESSMENT**

	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
1.	<u>The development of a systematic program for screening and evaluating inmates to more accurately identify those in need of mental health care:</u>			
	a. Develop and implement screening parameters and modalities that will more accurately diagnose serious mental illness among incoming inmates at R&E with the stated goal of referring inmates to the appropriate treatment programs. Accurately determine and track the percentage of the SCDC population that is mentally ill.	HS 19.10	12/08/17 Partial compliance	12/08/17 Partial Compliance
		HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
	b. The implementation of a formal quality management program under which mental health screening practices are reviewed and deficiencies identified and corrected in ongoing SCDC audits of R&E counselors;	HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
	c. Enforcement of SCDC policies relating to the timeliness of assessment and treatment once an incoming inmate at R&E is determined to be mentally ill; and	HS 19.07 HS 19.10	12/08/17 Partial compliance	12/08/17 Partial Compliance
	d. Development of a program that regularly assesses inmates within the general population for evidence of developing mental illness and provides timely access to mental health care.	HS 19.07 HS 19.10	12/08/17 Partial compliance	12/08/17 Partial Compliance

¹ The Order components are for reference only and are to be used as references to identify those aspects of the Policies which apply to the Implementation.



	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
2.	<u>The development of a comprehensive mental health treatment program that prohibits inappropriate segregation of inmates in mental health crisis, generally requires improved treatment of mentally ill inmates, and substantially improves/increases mental health care facilities within SCDC:</u>			
	a. Access to Higher Levels of Care:			
	i. Significantly increase the number of Area Mental Health inmates vis-a-vis outpatient mental health inmates and provide sufficient facilities therefore;	HS 19.04 HS 19.11	12/08/17 Partial compliance	12/08/17 Partial compliance
	ii. Significantly increase the number of male and female inmates receiving intermediate care services and provide sufficient facilities therefore; ²	HS 19.04, HS 19.07, HS 19.11	12/08/17 Partial compliance	12/08/17 Partial compliance
	iii. Significantly increase the number of male and female inmates receiving inpatient psychiatric services, requiring the substantial renovation and upgrade of Gilliam Psychiatric Hospital, or its demolition for construction of a new facility;	HS 19.04, HS 19.07 HS 19.09	12/08/17 Partial compliance	12/08/17 Partial Compliance
		Gilliam Construction Plan	12/08/17 Partial compliance	12/08/17 Partial Compliance
	iv. Significantly increase clinical staffing at all levels to provide more mental health services at all levels of care; and	Hiring Plan attached as Exhibit E to the Settlement Agreement	12/08/17 Partial compliance	12/08/17 Partial Compliance
	v. The implementation of a formal quality management program under which denial of access to higher levels of mental health care is reviewed.	HS 19.07	12/08/17 Substantial compliance (7/14/17)	12/08/17 Substantial Compliance
	b. Segregation:			
	i. Provide access for segregated inmates to group and individual			

² The Parties agree that 10-15% of male inmates and 15-20% female inmates on the mental health case load should receive Intermediate Care Services.



	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	therapy services;			
		OP RHU Policy _22.38 Section 3.23 H.S. 19.04	12/08/17 Partial compliance	12/08/17 Partial Compliance
	ii. Provide more out-of-cell time for segregated mentally ill inmates;	HS 19.12 OP RHU Policy 22.38 Section 3.14.4 & Section 3.25	12/08/17 Noncompliance	12/08/17 Noncompliance
	iii. Document timeliness of sessions for segregated inmates with psychiatrists, psychiatric nurse practitioners, and mental health counselors and timely review of such documentation;	HS 19.04 OP RHU Policy 22.38 Section 3.15	12/08/17 Partial compliance	12/08/17 Partial Compliance
	iv. Provide access for segregated inmates to higher levels of mental health services when needed;	HS 19.04 HS 19.06	12/08/17 Partial compliance	12/08/17 Partial Compliance
	v. The collection of data and issuance of quarterly reports identifying the percentage of mentally ill and non-mentally ill inmates in segregation compared to the percentage of each group in the total prison population with the stated goal of substantially decreasing segregation of mentally ill inmates and substantially decreasing the average length of stay in segregation for mentally ill inmates;	HS 19.07 OP RHU Policy 22.38 Section 1 and Section 2	12/08/17 Substantial compliance (11/16)	12/08/17 Substantial compliance (11/16)
	vi. Undertake significant, documented improvement in the cleanliness and temperature of segregation cells; and	To be determined	12/08/17 Partial compliance	12/08/17 Partial Compliance
	vii. The implementation of a formal quality management program under which segregation practices and conditions are reviewed.	HS 19.07	12/08/17 Partial compliance	12/08/17 Partial compliance
	c. Use of Force:			
	i. Development and implementation of a master plan to eliminate the disproportionate use of force, including pepper spray and the restraint chair, against inmates with mental illness;	OP 22.01 HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	ii. The plan will further require that all instruments of force, (e.g., chemical agents and restraint chairs) be employed in a manner fully consistent with manufacturer's instructions, and track such use in a way to enforce such compliance;	OP 22.01 IIS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance



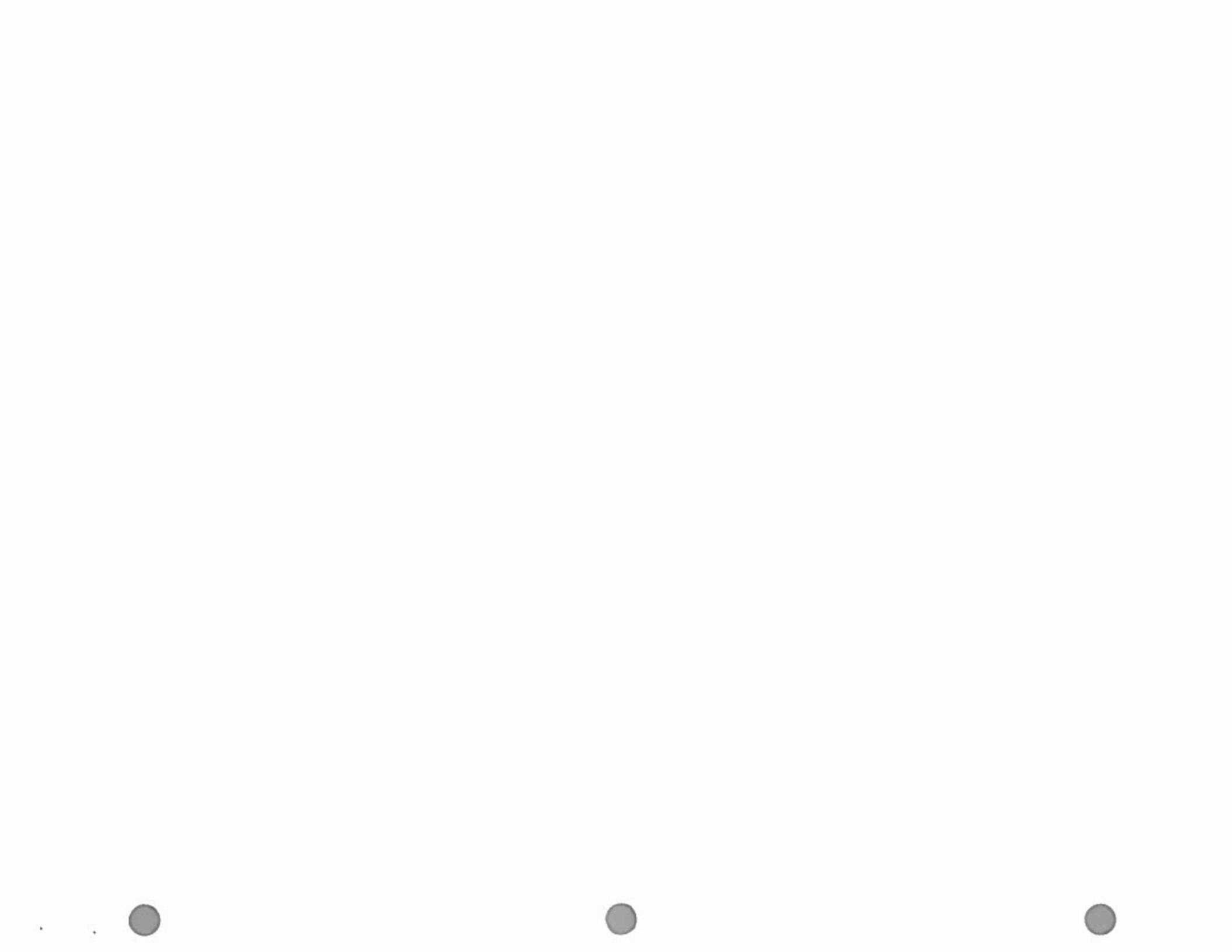
	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	iii. Prohibit the use of restraints in the crucifix or other positions that do not conform to generally accepted correctional standards and enforce compliance;	OP 22.01 HS 19.08	12/08/17 Substantial compliance (7/14/17)	12/08/17 Substantial Compliance
	iv. Prohibit use of restraints for pre-determined periods of time and for longer than necessary to gain control, and track such use to enforce compliance;	OP 22.01 HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	v. The collection of data and issuance of quarterly reports identifying the length of time and mental health status of inmates placed in restraint chairs;	HS 19.07 OP Use of Force 22.01 Section 13	12/08/17 Substantial compliance	12/08/17 Substantial Compliance
	vi. Prohibit the use of force in the absence of a reasonably perceived immediate threat;	OP 22.01 HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	vii. Prohibit the use of crowd control canisters, such as MK-9, in individual cells in the absence of objectively identifiable circumstances set forth in writing and only then in volumes consistent with manufacturer's instructions;	OP 22.01 HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	viii. Notification to clinical counselors prior to the planned use of force to request assistance in avoiding the necessity of such force and managing the conduct of inmates with mental illness;	OP 22.01 HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	ix. Develop a mandatory training plan for correctional officers concerning appropriate methods of managing mentally ill inmates;	OP 22.01 ADM 17.01 Employee Training Standards, SCDC Annual Training Plan HS 19.08	12/08/17 Partial compliance	12/08/17 Partial Compliance
	x. Collection of data and issuance of quarterly reports concerning the use-of-force incidents against mentally ill and non-mentally ill inmates; and	OP 22.01 HS 19.07	12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	xi. The development of a formal quality management program under which use-of-force incidents involving mentally ill inmates are reviewed.	OP 22.01 HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
3.	Employment of a sufficient number of trained mental health Professionals:			



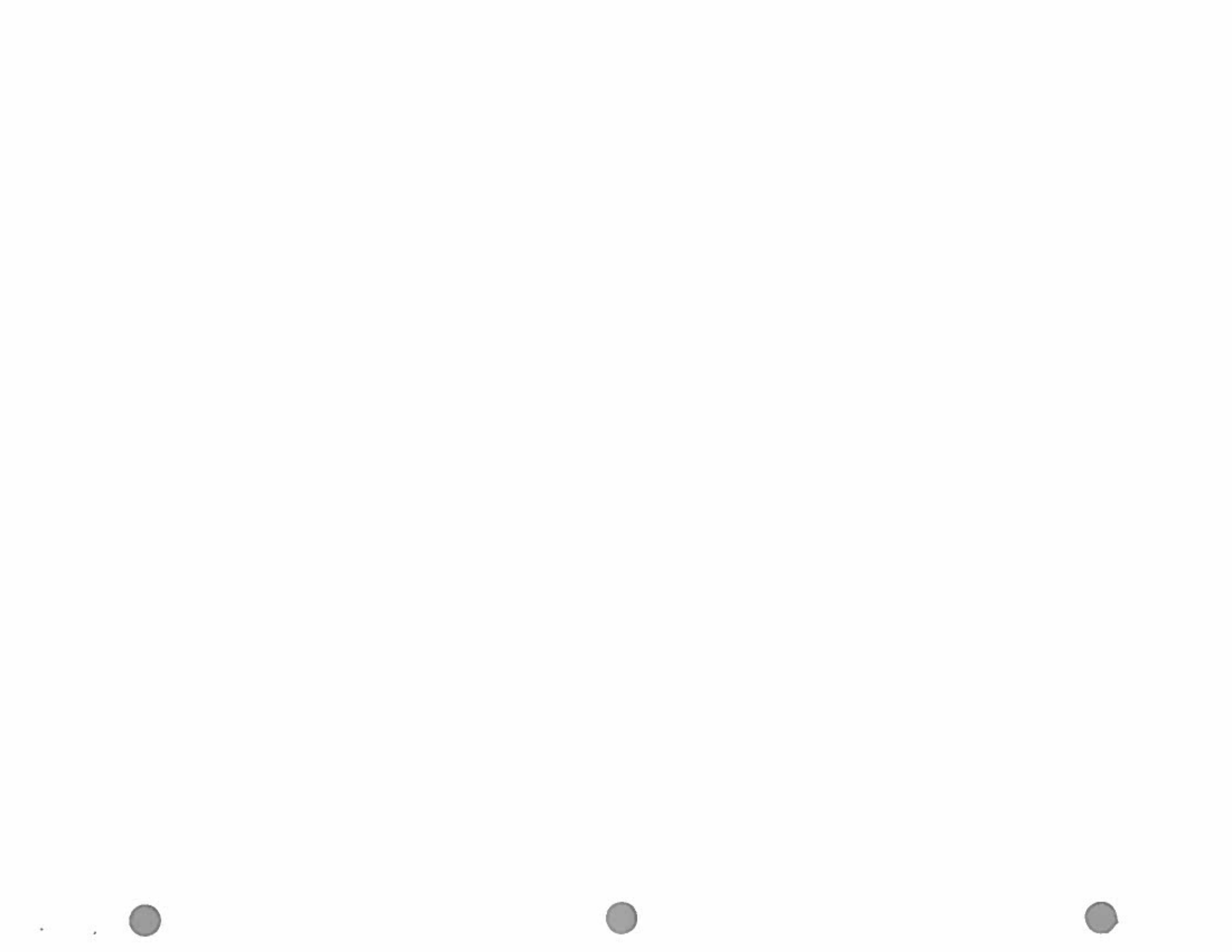
	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	a. Increase clinical staffing ratios at all levels to be more consistent with guidelines recommended by the American Psychiatric Association, the American Correctional Association, and/or the court-appointed monitor;	Hiring Plan attached as Exhibit E to the Settlement Agreement	12/08/17 Partial compliance	12/08/17 Partial Compliance
	b. Increase the involvement of appropriate SCDC mental health clinicians in treatment planning and treatment teams;	HS 19.05	12/08/17 Partial compliance	12/08/17 Partial Compliance
	c. Develop a training plan to give SCDC mental health clinicians a thorough understanding of all aspects of the SCDC mental health system, including but not limited to levels of care, mental health classifications, and conditions of confinement for caseload inmates;	Mental Health Training Policy Addendum	12/08/17 Partial compliance	12/08/17 Partial Compliance
	d. Develop a plan to decrease vacancy rates of clinical staff positions which may include the hiring of a recruiter, increase in pay grades to more competitive rates, and decreased workloads;	Hiring Plan attached as Exhibit E to the Settlement Agreement	12/08/17 Substantial compliance	12/08/17 Substantial Compliance
	e. Require appropriate credentialing of mental health counselors;	HS 19.04	12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	f. Develop a remedial program with provisions for dismissal of clinical staff who repetitively fail audits; and	HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
	g. Implement a formal quality management program under which clinical staff is reviewed.	HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
4.	Maintenance of accurate, complete, and confidential mental health treatment records:			
	a. Develop a program that dramatically improves SCDC's ability to store and retrieve, on a reasonably expedited basis:	HS 200.7		
	i. Names and numbers of FTE clinicians who provide mental health services;		12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance



	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	ii. Inmates transferred for ICS and inpatient services;		12/08/17 Substantial Compliance (7/14/17)	12/08/17 Substantial Compliance
	iii. Segregation and crisis intervention logs;		12/08/17 Partial compliance	12/08/17 Partial Compliance
	iv. Records related to any mental health program or unit (including behavior management or self-injurious behavior programs);		12/08/17 Partial compliance	12/08/17 Partial Compliance
	v. Use of force documentation and videotapes;		12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	vi. Quarterly reports reflecting total use-of-force incidents against mentally ill and non-mentally ill inmates by institution;		12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	vii. Quarterly reports reflecting total and average lengths of stay in segregation and CI for mentally ill and non-mentally ill inmates by segregation status and by institution;		12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	viii. Quarterly reports reflecting the total number of mentally ill and non-mentally ill inmates in segregation by segregation status and by institution;		12/08/17 Substantial compliance (3/3/17)	12/08/17 Substantial Compliance
	ix. Quality management documents; and		12/08/17 Partial compliance	12/08/17 Partial Compliance
	x. Medical, medication administration, and disciplinary records.		12/08/17 Partial compliance	12/08/17 Partial Compliance
	b. The development of a formal quality management program under which the mental health management information system is annually reviewed and upgraded as needed.	HS 19.07	12/08/17 Partial compliance	12/08/17 Partial Compliance
5.	Administration of psychotropic medication only with appropriate supervision and periodic evaluation:			
	a. Improve the quality of MAR documentation;	HS 18.16	12/08/17 Partial compliance	12/08/17 Partial Compliance



	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	b. Require a higher degree of accountability for clinicians responsible for completing and monitoring MARs;	HS 18.16	12/08/17 Noncompliance	12/08/17 Noncompliance
	c. Review the reasonableness of times scheduled for pill lines; and	HS 18.16	12/08/17 Noncompliance	12/08/17 Noncompliance
	d. Develop a formal quality management program under which medication administration records are reviewed.	HS 18.16	12/08/17 Partial compliance	12/08/17 Partial Compliance
6.	A basic program to identify, treat, and supervise inmates at risk for suicide:			
	a. Locate all CI cells in a healthcare setting;	HS 19.03 OP RIJU 22.38 Section 3.39	12/08/17 Partial compliance	12/08/17 Partial Compliance
	b. Prohibit any use for CI purposes of alternative spaces such as shower stalls, rec cages, holding cells, and interview booths;	HS 19.03 OP RHU 22.38 Section 3.39	12/08/17 Substantial compliance	12/08/17 Substantial Compliance
	c. Implement the practice of continuous observation of suicidal inmates;	HS 19.03	12/08/17 Partial compliance	12/08/17 Partial Compliance
	d. Provide clean, suicide-resistant clothing, blankets, and mattresses to inmates in CI;	HS 19.03	12/08/17 Partial compliance	12/08/17 Partial Compliance
	e. Increase access to showers for CI inmates;	HS 19.03	12/08/17 Noncompliance	12/08/17 Noncompliance
	f. Provide access to confidential meetings with mental health counselors, psychiatrists, and psychiatric nurse practitioners for CI inmates;	HS 19.03	12/08/17 Noncompliance	12/08/17 Noncompliance
	g. Undertake significant, documented improvement in the cleanliness and temperature of CI cells; and	HS 19.03	12/08/17 Partial compliance	12/08/17 Partial Compliance



	Components as Identified in Order ¹	Relevant Policies, Plans and Standards	Implementation Panel Assessment	Mediator Assessment
	h. Implement a formal quality management program under which crisis intervention practices are reviewed.	HS 19.03	12/08/17 Noncompliance	12/08/17 Noncompliance

